Attorney Docket No.: 020699-002100US
Client Reference No.: 50P3925.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hawley K. Rising, III

Application No.: 09/904,449

Filed: July 11, 2001

For: Formal Verification of Semantic DS

Examiner: Unknown

Art Unit: 2171

TRANSMITTAL LETTER –

RESPONSE TO NOTICE OF MISSING

PARTS

Attn: Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated August 29, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Copy of Notice of Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:

(a) Filing Fee (§ 1.16(a)) (Large Entity)

\$740.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

6 - 20 = 0

x \$18.00 =

\$0.00

PATENT

Hawley K. Rising, III

Application No.: 09/904,449

Page 2

2 - 3 = 0

x \$84.00 =

\$0.00

(c) Missing Parts Surcharge

\$130.00

TOTAL FEES TO BE CHARGED

\$870.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Fidel D. Nwamu Reg. No. 46,294

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415 576-0300

FDN:amb

SF 1286379 v1

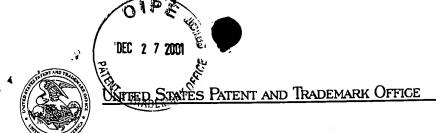
PTO/SB/17 (09-00)
oved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | Complete if Known | | | | | |
|---|----------------------|-------------------|--|--|--|--|
| THE TRANSMITTAL | Application Number | 09/904,449 | | | | |
| nc 2 1 700 For FY 2001 | Filing Date | July 11, 2001 | | | | |
| OEC , SE | First Named Inventor | Rising, Hawley K. | | | | |
| Patent fees are subject to annual revision. | Examiner Name | | | | | |
| Ratent fees are subject to annual revision. | Group Art Unit | 2171 | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 910 | Attorney Docket No. | 020699-002100US | | | | |

| \J. | TAL AM | JOIN O | FFAIN | IEM1 (2) | 910 | Attorn | ey Docke | | | <u> </u> | | | |
|---|--------------|---------------|---|---------------------------------------|--------------------------|---|-----------------------------|---|---|--|-------------|--|--|
| METHOD OF PAYMENT | | | | | | | FEE CALCULATION (continued) | | | | | | |
| The Commissioner is hereby authorized to charge | | | | | | 3. ADI | 3. ADDITIONAL FEES | | | | | | |
| | ☑ Deposit | | | and credit any o | ver payments to: | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid | | |
| Account | | 20- | 20-1430 | | | | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130 | | |
| Number | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | | | |
| Deposit Account Townsend and Townsend and Crew LLP | | | | 139 | 130 | 139 | 130 | Non-English specification | | | | | |
| | Name | | | | | | 2,520 | 147 | 2,520 | For filing a request for reexamination | | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | | | | | 112 | 920* | 112 | 920° | Requesting publication of SIR prior to Examiner action | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | | | | | 1,840* | 113 | 1,840° | Requesting publication of SIR after Examiner action | | | |
| 2. Payment Enclosed: | | | | | | | 110 | 215 | 55 | Extension for reply within first month | | | |
| ☐ Check ☐ Credit card ☐ Money ☐ Other Order | | | | | 116 | 400 | 216 | 200 | Extension for reply within second month | | | | |
| - | | | EEE C | ALCULATION | | 117 | 920 | 217 | 460 | Extension for reply within third month | | | |
| 1. | BASIC I | ILING FI | | ALCULATION | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | | |
| Larg | | Small | Entity | | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | | |
| Fee | Fee | Fee | | Fee Description | | 119 | 320 | 219 | 160 | Notice of Appeal | | | |
| Cod | | Code | (\$) | | Fee Paid | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | | |
| 101 | 740 | 201 | | Utility filing fee | 740 | 121 | 280 | 221 | 140 | Request for oral hearing | | | |
| 106 107 | 330 510 | 206 207 | | Design filing fee Plant filing fee | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | | |
| 108 | 740 | 208 | 370 | Reissue filing fee | | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | | | |
| 114 | 160 | 214 | 80 | Provisional filing | fee | 141 142 | 1,280 | 241 | 640 | Petition to revive – unintentional | | | |
| | | | | | | | 1,280 | 242 | 640 | Utility issue fee (or reissue) | | | |
| SUBTOTAL (1) (\$)740 | | | | | | 143 | 460 | 243 | 230 | Design issue fee | | | |
| 2. E | XTRA CLA | IM FEES | | - | | 144 | 620 | 244 | 310 | Plant issue fee | <u> </u> | | |
| | | | | | e from Fee | 122 | 130 | 122 | 130 | Petitions to the Commissioner | <u> </u> | | |
| Total | Claims 6 | -20 | | Claims bel 0 X \$ | ow Paid 18 = \$0 | 123 | 50 | 123 | 50 | Petitions related to provisional applications | | | |
| Indepe Claims | endent 2 | -3* | • = [| o × \$ | 84 = \$0 | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | | |
| Multipl Deper | | - | _ | x | = | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 | | |
| Larg Fee | | • | Entity Fee | | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| Cod 103 | | Code 203 | (\$) 9 | Fee Descript Claims in exc | | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 102 | 84 | 202 | 42 | Independent of | claims in excess of 3 | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | | |
| 104 | 280 | 204 | 140 | Multiple depe | ndent claim, if not paid | | | | | | | | |
| 109 | 84 | 209 | 209 42 ** Reissue independent claims over original patent | | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | | | 1 | Other fee (specify) | | | | | | | | |
| | | | SI | UBTOTAL (2) | (\$)0 | The Commissioner is authorized to charge any additional fees the above noted Deposit Account. | | | | | | | |
| | | | | | *Redu | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)170 | | | | | | | |
| **01 | number prev | lously paid | , ir greater | ; For Reissues, se | e auove | | | | | | | | |

| SUBMITTED BY Complete (if applicable) | | | | | | | | |
|---------------------------------------|------------|--------|-----------------------------------|--------|-----------|--------------|---|--|
| Name (Print/Type) | Fidel D Nv | vamu (| Registration No. (Attorney/Agent) | 46,294 | Telephone | 415-576-0200 | | |
| Signature | K | Sel | | | Date | p/29/ | 0 | |

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/904,449

07/11/2001

Hawley K. Rising III

020699-002100US

CONFIRMATION NO. 9713

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER

OC000000006489317

Date Mailed: 08/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/28/2001 NBERHE

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01 FC:101 02 FC:105 740.00 CH 130.00 CH